Exhibit A: Index of Documents Filed in State Court

The Amarillo Area Foundation and The Don and Sybil Harrington Foundation v. Invesco Institutional (N.A.), Inc., Cause No. 93114-C

	Date	Document Description
1.	March 2, 2005	Plaintiffs' Original Petition
2.	March 8, 2005	Plaintiffs' Motion for Service Pursuant to Texas Rule of Civil Procedure 103
3.	March 8, 2005	Order Authorizing Service Under Rule 103 Texas Rules of Civil Procedure
4.	March 22, 2005	Transmittal Letter from Plaintiffs' attorney John Mozola to District Clerk
5.	March 22, 2005	Record Citation of Invesco Institutional (N.A.), Inc.
6.	April 1, 2005	Transmittal Letter from Defendant's Thomas Riney to District Clerk
7.	April 1, 2005	Defendant's Motion to Transfer Venue and Subject Thereto Its Original Answer



PLAINTIFF ATTORNEY:

DOCKET SHEET



CAUSE NUMBER: 093114-00-C DOCKET: CIVIL DATE FILED: MARCH 2, 2005

COURT: 251ST DISTRICT COURT

JURY FEE PAID BY:

CAUSE OF ACTION: NO. 6 BREACH OF FIDUCIARY DUTY

STYLE:

THE AMARILLO AREA FOUNDATION AND THE DON AND SYBIL HARRINGTON FOUNDATION VS INVESCO INSTITUTIONAL (NA) INC (FKA INVESCO CAPITAL MANAGEMENT INC)

JOHN MOZOLA,						1000
AMARILLO, TX 372-5086	79101, (806)	3/2-5050,	BAR CARD	NO. 146155	OU FAX NO.	(806)
DEFENDANT ATT	ORNEY:					
BAR CARD NO.	F	AX NO.				

DATE OF ORDERS	ORDERS OF COURT

All | Motions | Orders | Answers / Citations | Other Documents / Actions | Costs | Payments | Ledger

Check out our new affordable subscription plans at ocket.com

View Case Track TM

Start Case TrackTM

Civil Docket; Case 93114-00-C; Accounts, Contracts, Notes THE AMARILLO AREA FOUNDATION AND THE DON AND SYBIL HARRINGTON FOUNDATION vs INVESCO INSTITUTIONAL (NA) INC (FKA INVESCO CAPITAL MANAGEMENT INC) Filed 03/02/2005 - Disposition:

251st District Court, District Clerk, Potter County, Texas

Help

Date	Description/Comments	Reference	Тур	Amount	Order Copies
03/02/2005	PREPARE DOCKET SHEET		TXT		Order
	FILE PLAINTIFFS' ORIGINAL PETITION		fŧ		Order
	CLERK'S FEE		A+	50.00	
	STATE FEE		"	50.00	
	LAW LIBRARY FEE		11	35.00	
	ALTERNATIVE DISPUTE RESOLUTION CENTER		"	10.00	
	COURTHOUSE SECURITY FUND		19	5.00	
	RECORDS MANAGEMENT FEE		11	5.00	
	DC RECORD MANAGEMENT		"	5.00	
	COURT REPORTER'S FEE		11	15.00	
	ISSUE CITATION PERSONAL SERVICE:		"	8.00	
	CHECKS RECEIVED Paid by: MULLIN HOARD & BROWN LLP	00015852	SP	183.00	
	ALTERNATIVE DISPUTE RESOLUTION	00015852	TOF	10.00	
	CLERKS FEES	00015852	"	50.00	
	LAW LIBRARY FEES	00015852	"	35.00	
	STATE FEE	00015852	"	50.00	
	COURT REPORTER'S FEE	00015852	11	15.00	
	DC RECORD MANAGEMENT & PRESERVATION FE	00015852	"	5.00	
	RECORDS MANAGEMENT	00015852	"	5.00	
1	COURTHOUSE SECURITY FUND	00015852	11	5.00	

	ISSUANCE	00015852	15	8.00	
03/08/2005	FILE MOTION: FOR RULE 103 SERVICE		TXT		Order
	FILE AND ENTER ORDER: AUTHORIZING SERVICE UNDER RULE 103 TEXAS RULES OF CIVIL PROCEDURE (SIGNED 03/08/05 BY JUDGE PIRTLE; AUTHORIZING DWIGHT D. MULLEN, ADIL TADLI AND DANNY L. HANEY)	1010/ 0761	ВŦ		Order
111 1 2 / / / / / / / / / / / / / /	FILE LETTER: DATED 03/22/05 FROM JOHN MOZOLA TO CLERK		"		Order
	RECORD CITATION: INVESCO INSTITUTIONAL (NA) INC (FKA INVESCO CAPITAL MANAGEMENT INC) BY SERVING ITS REGISTERED AGENT CT CORPORATION SYSTEM SERVED 03/11/05; DALLAS COUNTY BY DWIGHT D. MULLEN ***********NO FEE SHOWN************	O/C	FF		Order
04/01/2005	FILE LETTER: DATED 04/01/05 FROM THOMAS C. RINEY TO CLERK		fi		Order
	FILE MOTION: DEFENDANT'S MOTION TO TRANSFER VENUE AND SUBJECT THERETO ITS ORIGINAL ANSWER		ſŧ		Order

Search | Case History | Parties | Attorneys | Links | Services [Site Map][Return to Top] All | Motions | Orders | Answers / Citations | Other Documents / Actions | Costs | Payments | Ledger

© 1999 Solutions. Inc. Ail rights reserved. Unauthorized access is prohibited. Usage will be monitored Agreements

User ID: kdarrow Viewed as of April 4, 2005, time: 11:56:06

 $\left(\cdot \right)$

\$1 P

.

.

ı

/

CAUSE NO. 93,14-C

THE AMARILLO AREA FOUNDATION \$ IN THE DISTRICT COURT and THE DON AND SYBIL \$ HARRINGTON FOUNDATION \$ IN AND FOR \$ IN AND FOR \$ IN ENGINEER IN E

Plaintiffs The Amarillo Area Foundation and The Don and Sybil Harrington Foundation ("Plaintiffs"), complain of Defendant INVESCO Institutional (N.A.), Inc. (f/k/a INVESCO Capital Management, Inc.) ("Defendant" or "INVESCO"), as follows:

PLAINTIFFS' ORIGINAL PETITION

POTTER COUNTY, TEXAS

1. Discovery:

Management, Inc.)

Defendant.

Discovery should be conducted under Level 2 of Rule 190, Texas Rules of Civil Procedure.

2. Venue:

Venue is proper in Potter County because all or a substantial part of the events or omissions giving rise to the claim occurred in Potter County, Texas.

FILED
CAROLINE WOODBURN
DISTRICT CLERK

DISTRI

3. Parties:

Plaintiffs are corporations organized and existing under the laws of the state of Texas. Defendant is a foreign corporation that has designated CT Corporation System, 350 N. St. Paul Street, Dallas, Texas 75201 as its designated agent for service of process.

4. Facts:

Prior to 2001, Plaintiffs entered Investment Management Agreements with Defendant. The parties agreed that Defendant had various powers in performance of its duties as Investment Manager for Plaintiffs, including the power to direct the purchase or subscription of securities. Defendant acknowledged that, as Investment Manager for Plaintiffs, it was a fiduciary in respect to the funds invested for Plaintiffs. The parties agreed that Defendant may provide services to Plaintiffs through any or all of its affiliates, including INVESCO Funds Group, Inc. Pursuant to the agreement, Defendant performed investment services and Plaintiffs paid fees to Defendant in excess of \$400,000. Defendant's status as Investment Manager ended following the fourth quarter of 2001.

5. In 2004, Plaintiffs learned that INVESCO Funds Group, Inc., the affiliate of Defendant referred to above, had been charged with engaging in unlawful conduct in violation of the securities laws of the United States. On October 22, 2004, Plaintiffs requested Defendant to disclose to Plaintiffs any conduct of Defendant that could reasonably be construed as a breach of Defendant's fiduciary duties, as well as any damage that may have resulted to the

Plaintiffs as a result of that conduct. Defendant responded that it was a separate entity from INVESCO Funds Group, Inc. and disagreed with the conclusion that Defendant had a fiduciary duty to Plaintiffs to disclose any conduct by INVESCO Funds Group, Inc.

6. On December 3, 2004, Plaintiffs informed Defendant that the fact that Defendant and INVESCO Funds Group, Inc. may be separately incorporated did not resolve the questions raised by Plaintiffs. Plaintiffs asked that Defendant advise Plaintiffs of the role that INVESCO Funds Group, Inc. had in performance by Defendant of its contracts with Plaintiffs, including a description of any involvement that INVESCO Funds Group, Inc. had in the selection of securities purchased and sold by Defendant for Plaintiffs and in executing trades of stock on behalf of Plaintiffs. Defendant responded on December 13, 2004, stating that it found no record of Defendant ever investing any assets for Plaintiffs with INVESCO Funds Group, Inc. Plaintiffs responded on December 16, 2004, stating that Defendant's response did not answer the questions raised. Plaintiffs asked INVESCO to inform them of the role that INVESCO Funds Group, Inc. had in the performance by Defendant of its contracts with the Foundations. Specifically, Plaintiffs asked if Defendant relied in whole or in part on investment advice from INVESCO Funds Group, Inc. and whether INVESCO Funds Group, Inc. participated in any way in the execution of trades made for the accounts of Plaintiffs.

7. Defendant responded on January 3, 2005, stating that to the **best of its** knowledge, Defendant did not rely in whole or in part on investment advice from INVESCO Funds Group, Inc. in the management of Plaintiffs' assets and did not participate in any way in the execution of trades made for the accounts of the Foundations. Subsequently, the attorney for Plaintiffs conferred with the attorney for Defendant, requesting written proof of the statements made in the January 3, 2005 letter. Defendant has refused to do so. In addition to the information requested above, Plaintiffs hereby request documents showing whether Defendant bought and sold stocks or bonds for Plaintiffs the prices of which were adversely affected by conduct of INVESCO Funds Group, Inc.

8. Cause of action for breach of fiduciary duty:

As a fiduciary to Plaintiffs, Defendant has the duty to account to them and provide information to Plaintiffs concerning the conduct of Defendant in its capacity as investment manager for Plaintiffs. Defendant has breached that duty by failing to provide the information requested by Plaintiffs.

WHEREFORE, Plaintiffs pray that Defendant be cited to answer and appear in this case and upon hearing of this case, the Court order Defendant to produce documents and the accounting as requested by Plaintiffs and enter judgment for all relief to which Plaintiffs may be entitled, including money damages in an amount in excess of the minimum jurisdictional level of this Court, punitive damages, attorneys' fees, costs of court, prejudgment and postjudgment interest at the highest rates allowed by law, and all other relief, both legal and equitable, to which Plaintiffs may be entitled.

DATED: March , 2005.

Respectfully submitted,

John Mozola, TSB No. 14615500 John Mozola, PC MULLIN HOARD & BROWN, L.L.P. P. O. Box 31656 Amarillo, Texas 79120

Tel: 806/372-5050 Fax: 806/372-5086

CAROLINE WOODBURN DISTRICT CLEAR 200**5** MAR -2 P 4: 05 POTTER COUNTY, TEXAS J:\08004\Invesco\Pleadings\80040001.doc

PLAINTIFFS' ORIGINAL PETITION - 5

CAUSE NO. <u>093114-00-C</u>

THE AMARILLO AREA FOUNDATION,	§	IN THE DISTRICT COURT
ET AL,	§	
Plaintiffs,	§	
VS.	§	251 ST JUDICIAL DISTRICT
INVESCO Institutional (N.A.), INC., (f/k/a INVESCO Capital Management, Inc.),	§ §	
Defendant.	§ §	POTTER COUNTY, TEXAS

MOTION FOR RULE 103 SERVICE

Petitioner moves the Court to order service of citation on INVESCO Institutional (N.A.), INC., (f/k/a INVESCO Capital Management, Inc.), as prescribed by Rule 103 of the T.R.C.P.

Private Process Servers DWIGHT D. MULLEN, ADIL TADLI and DANNY L. HANEY, are individuals who are residents of the State of Texas and are over the age of 18 years and are not parties to or interested in the outcome of this case.

Petitioner requests the Court issue its order authorizing service by Rule 103 of citation and other notices in the above-styled and numbered cause by the above named individuals, by service on the registered agent INVESCO Institutional (N.A.), INC., so that it may be notified of this suit.

Respectfully Submitted:

JOHN MOZOLA

Texas Bar No. 14615500

MULLIN HOARD & BROWN, L.L.P. P.O. BOX 31656 Amarillo, Texas 79120

pu Mizolu

806-372-5050

806-372-5086 Fax

Attorney for Plaintiff

CAROLINE WOODBURN DISTRICT CLERK

2005 MAR -8 P 2: QU

POTTER COUNTY, TEXA

CAUSE NO. <u>093114-00-C</u>

THE AMARILLO AREA FOUNDATION,	§	IN THE DISTRICT COURT
ET AL,	§	
Plaintiffs,	§	
VS.	§	251 ST JUDICIAL DISTRICT
INVESCO Institutional (N.A.), INC., (f/k/a INVESCO Capital Management, Inc.),	8 8	
Defendant.	§	POTTER COUNTY, TEXAS

ORDER AUTHORIZING SERVICE UNDER RULE 103 TEXAS RULES OF CIVIL PROCEDURE

On this day came to be heard the request that the Court authorize service of process upon a party to this cause pursuant to Rule 103, Texas Rules of Civil Procedure. After considering the affidavits of DWIGHT D. MULLEN, ADIL TADLI and DANNY L. HANEY, the Court finds that the requirements of Rule 103 have been met and that service under such Rule should be authorized.

IT IS THEREFORE ORDERED that DWIGHT D. MULLEN, ADIL TADLI and DANNY L. HANEY, are authorized to serve Citations and other notices in this cause and to make return thereof.

JUDGE PRESIDING

CAROLINE WOODBURN DISTRICT CLERK

2005 MAR -8 P 2: 12

POTTER COUNTY, TEXAS

BY 02

1010761



John Mozola

Board Certified Civil Trial Law Texas Board of Legal Specialization Email: jmozola@mhba.com Direct Dial: 806.337.1123

Fax: 806.372.5089

March 22, 2005

Caroline Woodburn Potter County District Clerk P.O. Box 9570 Amarillo, Texas 79105-9570

Re:

No. 93,114-C; The Amarillo Area Foundation and The Don and Sybil Harrington Foundation v. INVESCO Institutional (N.A.), Inc. (f/k/a INVSCO Capital Management, Inc.); In the 251st District Court; Potter County, Texas

Dear Ms. Woodburn:

Enclosed are the original and one copy of the Affidavit of Service to the registered agent for INVESCO. Please file the original and return the file-marked copy to me in the enclosed postage paid envelope.

Thank you for your assistance.

Sincerely, John Mgoln

John Mozola

FJM/gh Enc.

FILED CAROLINE WOOCBURN DISTRICT CLERK

5

- Case 2:05-cv-00104-J cument Pottere County

Page 20 of 29 PageID 30

806 / 379-2300 FAX: 806 / 372-5061 districtclerk@co.potter.tx.us

P.O. Box 9570 marillo, iexas 79105-9570 501 S. Fillmore-Suite 1B

Caroline Woodburn DISTRICT CLERK www.co.potter.tx.us/districtclerk/index.html

THE STATE OF TEXAS CIVIL

CITATION -- PERSONAL SERVCE

CAUSE NO. 093114-00-C

THE AMARILLO AREA FOUNDATION AND THE DON AND SYBIL HARRINGTON FOUNDATION VS INVESCO INSTITUTIONAL (NA) INC (FKA INVESCO CAPITAL MANAGEMENT INC)

IN AND FOR THE: 251ST DISTRICT COURT

TO:

INVESCO INSTITUTIONAL (NA) INC (FKA INVESCO CAPITAL MANAGEMENT INC) BY SERVING ITS REGISTERED AGENT CT CORPORATION SYSTEM, 350 N ST PAUL ST, DALLAS, TX 75201

NOTICE: YOU HAVE BEEN SUED. YOU MAY EMPLOY AN ATTORNEY. IF YOU OR YOUR ATTORNEY DO NOT FILE A WRITTEN ANSWER WITH THE CLERK WHO ISSUED THIS CITATION BY 10:00 A.M. ON THE MONDAY NEXT FOLLOWING THE EXPIRATION OF TWENTY DAYS AFTER YOU WERE SERVED THIS CITATION AND PETITION, A DEFAULT JUDGMENT MAY BE TAKEN AGAINST YOU.

THE ADDRESS OF THE CLERK IS SHOWN ABOVE. THE PLAINTIFF'S PETITION WAS FILED ON: MARCH 2, 2005 IN THE 251ST DISTRICT COURT LOCATED AT AMARILLO, POTTER COUNTY, TEXAS.

ATTACHED HERETO IS: PLAINTIFFS' ORIGINAL PETITION

THE ATTORNEY FOR THE PLAINTIFF IS: JOHN MOZOLA, 500 S. TAYLOR, 800 ANB, AMARILLO, TX 79101, (806) 372-5050.

ISSUED AND GIVEN UNDER MY HAND AND SEAL ON: MARCH 2, 2005

CAROLINE WOODBURN, CLERK OF THE COURT POTTER COUNTY, TEXAS

DEPUTY

(OFFICER'S RETURN FOLLOWS)

RETURN COPY

FILED CAROLINE WOODSUNE HISTRICT CLEAN

2005 MAR 22 P 2: 51

POTTER COUNTY, TEXA.

P.O. Box 9570 Amarillo, Texas 79105-9570 501 S. Fillmore-Suite 1B

CAUSE NO. 093114-00-C

Case 2:05-cv-00104-J_Document 4-2tterlec 04/05/05 Page 21 of 29 PageID 31 **Caroline Woodburn**

DISTRICT CLERK www.co.potter.tx.us/districtclerk/index.html

806 / 379-2300 FAX: 806 / 372-5061 districtclerk@co.potter.tx.us

STYLE: THE AMARILLO AREA FOUNDATION AND THE DON AND SYBIL HARRINGTON FOUNDATION VS INVESCO INSTITUTIONAL (NA) INC (FKA INVESCO CAPITAL MANAGEMENT INC)
CAME TO HAND ON , 20 AT O'CLOCK .M. AND EXECUTED BY PERSONALLY DELIVERING A COPY OF THIS CITATION WITH A COPY OF THE PETITION ATTACHED, HAVING ENDORSED THE DATE OF DELIVERY ON THE COPY OF THE CITATION, IN PERSON TO:
SEE ATTACHED AFFIDAVIT (NAME OF INDIVIDUAL, CORPORATION, OR AGENT SERVED)
(NAME OF INDIVIDUAL, CORPORATION, OR AGENT SERVED)

(11111111111111111111111111111111111111	30111 311111111,	JII 110_111 J		
IN	COUNTY,	TEXAS, ATO	CLOCK	M.
ON				
	_	Above the second distribution of the second		SHERIFF
	-		COUNTY,	TEXAS
		ВУ		, DEPUTY
	FEE FOR	SERVING CITATION		
=======================================	==FOR PROCESS	SERVER'S USE=====		========
SUBSCRIBED AND SWORN WITNESS MY HAND AND	TO BEFORE ME SEAL.	ON:		20
	MY	COMMISSION EXPIRES		, NOTARY
	FEE	FOR SERVING CITAT:	ION	

CAUSE NO. 093114-00-C

തതതതതതതത

THE AMARILLO AREA FOUNDATION, ET AL,

VS.

Plaintiff(s),

INVESCO INSTITUTIONAL (N.A.), INC., ET AL,

Defendant(s).

IN THE DISTRICT COURT

45151 JUDICIAL DISTRICT

COUNTY, TEXAS

AFFIDAVIT OF SERVICE

Came to hand on

Thursday, March 10, 2005

at 4:00 PM,

Executed at:

350 N ST PAUL ST, STE 2900,

DALLAS,

TX 75201 within the county of

DALLAS

at 10:30 AM.

Friday, March 11, 2005,

by delivering to the within named:

INVESCO INSTITUTIONAL (NA) INC

by delivering to its Registered Agent, CT CORPORATION SYSTEM

by delivering to its designated agent, SANDY CABALLERO

in person a true copy of this

CITATION AND PLAINTIFF'S ORIGINAL PETITION

having first endorsed thereon the date of the delivery.

BEFORE ME, the undersigned authority, on this day personally appeared Dwight D. Mullen, who after being duly sworn on oath states: "My name is Dwight D. Mullen. over eighteen (18) years of age and I am competent to make this affidavit. I am a resident of the State of Texas. I am not a party to this suit nor related or affiliated with any herein, and have no interest in the outcome of the suit. I am familiar with the Texas Rules of Civil Procedure, and the Texas Practice and Remedies Codes as they apply to service of process. I have never been convicted of a felony or of a misdemeanor involving moral turpitude."

Dwight D. Mullen

of DALLAS COUNTY

Given under my hand and seal of office on this $\frac{L^2}{2}$

day of MARCH 2005.

The State of Texas

Notary Public, State of Texas



CAUSE NO. 093114-00-C

THE AMARILLO AREA FOUNDATION, ET AL,	§ IN THE DISTRICT COURT
Plaintiffs,	8
VS.	251 st Judicial district
INVESCO Institutional (N.A.), INC., (f/k/a INVESCO Capital Management, Inc.),	
Defendant.	POTTER COUNTY, TEXAS

ORDER AUTHORIZING SERVICE UNDER RULE 103 TEXAS RULES OF CIVIL PROCEDURE

On this day came to be heard the request that the Court authorize service of process upon a party to this cause pursuant to Rule 103, Texas Rules of Civil Procedure. After considering the affidavits of DWIGHT D. MULLEN, ADIL TADLI and DANNY L. HANEY, the Court finds that the requirements of Rule 103 have been met and that service under such Rule should be authorized.

IT IS THEREFORE ORDERED that DWIGHT D. MULLEN, ADIL TADLI and DANNY L. HANEY, are authorized to serve Citations and other notices in this cause and to make return thereof.

> SIGNED this 8 ha day of March
>
> Judge PRESIDING Caroline Woodburn MAR 22 2005 District Clerk, Potter County, Texas

Gwinn & Roby L.L.P.

ATTORNEYS AND COUNSELORS

Amarillo/Dallas/Fort Worth 600 Maxor Building 320 S. Polk Street Amarillo, Texas 79101-1426 (806) 468-3200 Fax (806) 376-4509 triney@gwinnroby.com

Direct Dial Number 806-468-3201

April 1, 2005

By Hand Delivery

Ms. Caroline Woodburn Potter County District Clerk P. O. Box 9570 Amarillo, Texas 79105-9570

Re: No. 93,114-C – In the 251st District Court in and for Potter County, Texas; The Amarillo Area Foundation and The Don and Sybil Harrington Foundation vs. INVESCO Institutional (N.A.), Inc. (f/k/a INVESCO Capital Management, Inc.)

Dear Ms. Woodburn:

Enclosed are an original and one copy of Defendant's Motion to Transfer Venue and Subject Thereto Its Original Answer which I ask that you file in the above-referenced matter. Please return a file stamped copy of this Motion to our courier.

By copy of this letter, I am this day forwarding a copy of this document to Mr. John Mozola, attorney of record for the Plaintiffs, by certified mail, return receipt requested.

Sincerely,

GWINN & ROBY, L.L.P.

Thomas C. Riney

TCR/gf Enclosures

xc: John Mozola (w/enc.)

2005 APR-1 A 10: 32

POTTER COURTY, TEXA

CAUSE NO. 93114-C

THE AMARILLO AREA FOUNDATION		IN THE DISTRICT COURT	
and THE DON AND SYBIL	§		
HARRINGTON FOUNDATION,	§		
,	8		
Plaintiffs,	8	_	
v.	§	251ST JUDICIAL DISTRICT	
	8	en e	$-\mathcal{B}$
	8		
INVESCO Institutional (N.A.), Inc.	8	The state of the s	
(f/k/a INVESCO Capital Management, Inc.)	8		ĕ Λ:
	8		C=\/\/
Defendant.	§	POTTER COUNTY, TEXAS	#VT
	U		

DEFENDANT'S MOTION TO TRANSFER VENUE AND SUBJECT THERETO ITS ORIGINAL ANSWER

Invesco Institutional (N.A.), Inc. (hereinafter "Defendant") files this Defendant's Motion to Transfer Venue, and subject thereto its Original Answer to Plaintiffs' Original Petition ("Petition"), subject to its right to remove this case and to challenge jurisdiction.

I.

Motion to Transfer Venue

Defendant moves that venue be transferred from Potter County, Texas, where venue is improper, to Dallas County, Texas where venue in Texas would be proper, assuming that this case should not be heard in another state or forum. This motion is filed as required by Texas Rule of Civil Procedure 86 before any other plea, pleading or motion, in order to preserve Defendant's right to object to venue. Defendant denies any and all venue facts that could support the maintenance of venue in Potter County based on the facts alleged by Plaintiff in the Petition. Specifically, Defendant denies that "all or a substantial part of the events or omissions giving rise to the claim occurred in Potter County, Texas." Simply because Plaintiffs are situated in Potter County does not make venue proper in this location.

As provided by Subdivision 3, venue is proper in Dallas County because the Defendant's principal office in Texas is in Dallas County. Tex. CIV. PRAC. & REM. CODE § 15.002(a)(3). Because Defendant's principal place of business within the state of Texas is in Dallas County,

the other provisions of §15.002 do not apply and the lawsuit should be transferred to Dallas County. Venue is also appropriate in Dallas County because the locale is more convenient than Potter County. Tex. CIV. PRAC. & REM. CODE §15.002(b). Furthermore, the maintenance of this action in Potter County would work an injustice to the parties and witnesses; the balance of interest of all parties predominate in favor of the action being transferred; and the transfer of the action would not work an injustice to any other party. *Id.* at §§ 15.002(b)(1) - (b)(3).

Because Defendant challenges the propriety of venue, Plaintiff bears the burden of proof to establish proper venue in Potter County, Texas. In re Masonite Corp., 997 S.W.2d 194, 197 (Tex. 1999) ("If a defendant, through a venue transfer motion, objects to the plaintiff's venue choice, the plaintiff must prove that venue is proper in the county of suit.") citing Wilson v. Texas Parks and Wildlife Dep't, 886 S.W.2d 259, 260 (Tex. 1994).

II.

Original Answer

Subject to and without waiver of its motion to transfer venue and pursuant to all stipulations and admissions that may be made, Defendant asserts this general denial pursuant to Texas Rule of Civil Procedure 92, and generally denies each and every material allegation contained in Plaintiffs' Original Petition and demand strict proof thereof.

WHEREFORE, PREMISES CONSIDERED, Defendant requests that Plaintiffs take nothing by their claims against Defendant, that the Court dismiss all claims against Defendant and award Defendant its costs of court. Defendant further requests all such other and further relief to which it may be justly entitled.

Respectfully submitted,

GWINN & ROBY L.L.P.

Thomas C. Riney

State Bar No. 16935100

320 South Polk Street 600 Maxor Building

Amarillo, Texas 79101

Telephone: 806-468-3201 Telecopier: 806-376-4509

FULBRIGHT & JAWORSKI L.L.P.

Layne E. Kruse State Bar No. 11742550 1301 McKinney Ave., Suite 5100 Houston, Texas 77010-3095

Telephone: 713-651-5194 Telecopier: 713-651-5246

COUNSEL FOR DEFENDANT INVESCO Institutional (N.A.), Inc.

CERTIFICATE OF SERVICE

In accordance with Rule 21a of the TEXAS RULES OF CIVIL PROCEDURE, I hereby certify that a true and correct copy of the foregoing instrument has been served upon all counsel of record on this /// day of April, 2005, as follows:

John Mozola

State Bar No. 14615500 John Mozola, P.C. Mulling Hoard & Brown, L.L.P. P.O. Box 31656

Amarillo, Texas 79120 Telephone: 806-372-5050 Telecopier: 806-372-5086

Thomas C. Riney

ENUE AND

PAGE 3

DEFENDANT'S MOTION TO TRANSFER VENUE AND SUBJECT THERETO ITS ORIGINAL ANSWER 30901398.1 - #93627v1